

# NEW ACCOUNT APPLICATION

Please complete and sign this New Account Application after you have read the prospectus carefully. You may invest in as many of the UMB Scout Funds as you wish using just this application. Upon completion, return this application and your check to: UMB Scout Funds, P.O. Box 1241, Milwaukee, WI 53201-1241. If you have any questions, a shareholder services representative is available from 7 a.m. to 7 p.m. Central Time toll-free at **800-996-2862**.

**The Fund does not accept investments from a) individuals or entities without a U.S. Social Security Number/Tax Identification Number and a U.S. address or b) Foreign Financial Institutions as defined in the USA PATRIOT Act.**



**UMB | SCOUT FUNDS**

P.O. Box 1241  
Milwaukee, WI 53201-1241

**TOLL FREE 800-996-2862**

## IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING A NEW ACCOUNT

To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account. Therefore when you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. We may also ask to see your driver's license or other identification documents. We must return your Application if any of this information is missing. We may also close your account or take other appropriate action if we are unable to verify your identity within a reasonable time. If your account is closed for this reason, your shares will be redeemed at the next calculated NAV after the account is closed.

*To ensure the accuracy of your account information, please do not remove this address label, even if the account is registered differently.*

### 1. ACCOUNT REGISTRATION *Please Print*

**Please do not use this Application to open an IRA. To open any type of IRA, contact us for the proper forms.**

#### TYPE OF ACCOUNT

**Individual**

Name \_\_\_\_\_ Social Security Number \_\_\_\_\_ Birthdate \_\_\_\_\_

**Joint** (*Joint Tenants with Right of Survivorship, unless otherwise specified*)

First Owner's Name \_\_\_\_\_ Social Security Number \_\_\_\_\_ Birthdate \_\_\_\_\_

Joint Owner's Name \_\_\_\_\_ Social Security Number \_\_\_\_\_ Birthdate \_\_\_\_\_

**Uniform Gifts or Transfers to Minors** (*List one name only for each line*)

Custodian's Name \_\_\_\_\_ Social Security Number \_\_\_\_\_ Birthdate \_\_\_\_\_

Minor's Name \_\_\_\_\_ Social Security Number \_\_\_\_\_ Birthdate \_\_\_\_\_

State of Residence (*Required under the State Uniform Gifts/Transfers to Minors Act*) \_\_\_\_\_

**Trust Accounts** — *Copy of full Trust document required • For additional trustees please include letter*

Trustee Name \_\_\_\_\_ Taxpayer Identification Number \_\_\_\_\_ Birthdate \_\_\_\_\_

Co-Trustee Name (*if applicable*) \_\_\_\_\_ Taxpayer Identification Number \_\_\_\_\_ Birthdate \_\_\_\_\_

Name of Trust Agreement \_\_\_\_\_ Taxpayer Identification Number \_\_\_\_\_ Date of Trust \_\_\_\_\_

**Corporation/Other** — *Corporate resolution or document from the organization's governing body, dated within 60 days is required.*

Name of Corporation or Other Entity \_\_\_\_\_ Taxpayer Identification Number \_\_\_\_\_

## 2. MAILING ADDRESS

Application will only be accepted if they contain a U.S. or Puerto Rico (Small Cap and International Funds only) address.

Street Address (P.O. Box not acceptable)

Mailing Address (if different from street address)

City State Zip

( ) Main Telephone ( ) Alternate Telephone

E-mail Address

- Additional Address  Broker Dealer Information  
 Residential/Street Address

To send copies of confirms and statements for this account (optional)

Name

Street Address (required)

Mailing Address (if different from street address)

City State Zip

( ) Main Telephone ( ) Alternate Telephone

E-mail Address

## 3. INVESTMENT SELECTION

|                        | FUND NAME   | INVESTMENT METHOD   |
|------------------------|---|---|
| \$ _____               | UMB Scout <b>Stock</b> Fund (022)                                   | <input type="checkbox"/> <b>BY CHECK</b><br>Make checks payable to <b>UMB Scout Funds.</b>  |
| \$ <b>CLOSED</b> _____ | UMB Scout <b>Growth</b> Fund (033)                                  |   |
| \$ _____               | UMB Scout <b>Mid Cap</b> Fund (020)                                 | <input type="checkbox"/> <b>BY WIRE</b><br>Call 800-996-2862 for wire instructions.   |
| \$ _____               | UMB Scout <b>Small Cap</b> Fund (026)                               |   |
| \$ _____               | UMB Scout <b>International</b> Fund (029)                           | <b>MINIMUM INITIAL INVESTMENT AMOUNTS:</b> <ul style="list-style-type: none"> <li>• \$1,000 per regular Fund account</li> <li>• \$250 for UGMA/UTMA account</li> <li>• \$100 per Fund with an Automatic Investment Plan (See Section 8).</li> </ul> |
| \$ _____               | UMB Scout <b>International Discovery</b> Fund (038)                 |   |
| \$ _____               | UMB Scout <b>Bond</b> Fund (025)                                    |   |
| \$ _____               | UMB Scout <b>Money Market</b> Fund — <b>Federal</b> Portfolio (021) |   |
| \$ _____               | UMB Scout <b>Money Market</b> Fund — <b>Prime</b> Portfolio (024)   |   |
| \$ _____               | UMB Scout <b>Tax-Free Money Market</b> Fund (023)                   |   |
| \$ _____               | <b>Total Amount Invested</b>  |   |

## 4. DISTRIBUTION SELECTION

Dividends and capital gains distributions will be automatically reinvested in additional shares. If you would like them to be paid in cash, please select one of the following options:

**Do not mark any of these boxes if you wish to reinvest both dividends and capital gains.**

- Pay dividends and capital gains in cash.  Pay dividends in cash, reinvest capital gains.  
 **Check this box if, from above, you want any cash disbursement to be electronically transferred (ACH) to a specified bank account. You must include bank information in Section 9.**

## 5. TELEPHONE TRANSACTIONS

As a UMB Scout Funds shareholder\*, you have the ability to conduct purchase, exchange and redemption transactions by telephone.

You will automatically be granted telephone transactions unless you decline them by checking below. **If you decline, you will be required to submit a Medallion signature guaranteed letter of instruction signed by all registered account owners to add telephone transaction privileges in the future.**

- I decline telephone transactions. All requests to redeem shares from this account must be submitted in writing.

\*Additional documentation is required for Corporations, Partnerships or Other Entities. (Please see Section 7.)

UMB Scout Funds employs reasonable procedures to confirm that instructions communicated by telephone are genuine and may not be held liable for losses due to unauthorized or fraudulent instructions. Please see the prospectus for more information about telephone redemption privileges.

## 6. INTERNET TRANSACTIONS

You can conduct purchase, exchange and redemption transactions over the Internet through our Website by enrolling for online transaction privileges at [www.umbscoutfunds.com](http://www.umbscoutfunds.com). **Please note that you must have telephone privileges (Section 5) in order to conduct online transactions. In addition, you must have ACH instructions on your account (Section 9) in order to conduct online purchases and have redemption proceeds sent to you via ACH and wire.**

You will have the ability to establish online transaction privileges unless you decline them by checking below. **If you decline, you will be required to submit a Medallion signature guaranteed letter of instruction signed by all registered account owners to add any online transaction privileges in the future.**

I decline online transaction privileges.

## 7. PERSONS AUTHORIZED TO CONDUCT TRANSACTIONS — CORPORATIONS, TRUSTS, PARTNERSHIPS

To establish expedited redemption and/or exchange privileges on your UMB Scout Funds account, please complete the following information. This section must be signed by all authorized signers, and must be accompanied by a corporate resolution or document from the organization's governing body, dated within 60 days, authorizing such privilege. If a bank or trust company is serving as agent or custodian, please attach a copy of the custodial agreement as well.

Please establish redemption and exchange privileges as noted above on the UMB Scout Funds accounts established per this New Account Application.

Any caller identifying themselves as a representative of the corporation/institution that can provide the UMB Scout Funds with the appropriate account number and Taxpayer Identification Number on the account is authorized to place trades via telephone. **Requests for redemptions of more than \$50,000 or those going to other than the address or bank account of record will require a corporate resolution dated within 60 days and Medallion signature guarantee.**

In consideration of the foregoing request, we agree for ourselves and legal representatives, at all times to defend, indemnify and hold UMB Fund Services, Inc. (transfer agent for the UMB Scout Funds), its affiliates, officers, employees and members, UMB Scout Funds and their affiliates and directors harmless from and against any and all claims, actions or suits, whether groundless or otherwise, and from and against any and all liabilities, taxes, losses, damages, costs, charges, counsel fees and other expenses of every nature and character arising out of, or related to processing of, telephone transactions as provided above.

\_\_\_\_\_  
Name Title Signature Date

\_\_\_\_\_  
Name Title Signature Date

## 8. AUTOMATIC INVESTMENT PLAN

To establish a new account with this program, you must initially invest at least \$100 per Fund and subsequent investments must be at least \$50 per purchase. **You must also include the bank information in Section 9. If more than two automatic purchases are desired, please attach a separate page.**

| FUND NAME | AMOUNT | FUND NAME | AMOUNT |
|-----------|--------|-----------|--------|
|-----------|--------|-----------|--------|

\_\_\_\_\_  
Begin Investments (Month, Year)

\*Choose One:

Monthly  Quarterly  Semi-Annually  Annually

\*Choose One (for both Monthly or Quarterly deposits):

5th  10th  15th  20th  25th

*\*If no time frame or date is specified, investments will be made monthly on the 20th. Your first automatic investment will occur no sooner than 15 days after receipt of this Application.*

\_\_\_\_\_  
Begin Investments (Month, Year)

\*Choose One:

Monthly  Quarterly  Semi-Annually  Annually

\*Choose One (for both Monthly or Quarterly deposits):

5th  10th  15th  20th  25th

## 9. BANK INFORMATION

Your bank account information must be on file in order to utilize the Automatic Investment Plan (See Section 8), or to settle, by wire (wire fee is \$13) or electronic funds transfer, any purchase or redemption transaction made by telephone. The account name(s) below must exactly match at least one name in Section 1.

CHECKING ACCOUNT  SAVINGS ACCOUNT

**Please enclose a voided check or savings deposit slip. If you do not have a voided check, please verify your account number and ABA routing number with your financial institution.**

\_\_\_\_\_  
Name of Bank ABA Number Bank Account Number

\_\_\_\_\_  
Name(s) on Bank Account

\_\_\_\_\_  
Bank Street Address City State Zip

## 10. CHECKING OPTION (Money Market Funds Only)

Yes, I would like this option. I understand checks must be made payable for at least \$500 but no more than \$50,000.

Indicate number of signatures that must appear on check.\*

One     Two     Three or more

\*Please specify number. If left blank, the UMB Scout Funds will assume only one signature is required.

## 11. DOCUMENTATION OPTIONS

We generally deliver a single copy of most Annual and Semiannual Reports and Prospectuses to investors who share the same address and last name. By signing this Application, you consent to the delivery of one report and prospectus to the same address unless you indicate otherwise below. You have the right to revoke this consent at any time by calling or writing to the Funds at the telephone number or address shown on the first page. The Funds will begin sending you individual copies of these mailings within 30 days after you revoke your consent.

I want to receive individually addressed investor documents at the same address.

## 12. ACKNOWLEDGEMENT AND SIGNATURE

### By signing below:

- I certify that I have received and read the current prospectus and Privacy Notice of the Funds in which I am investing and agree to be bound by their terms and conditions, as they may be amended from time to time. I certify that I have the authority and legal capacity to make this purchase and that I am of legal age in my state of residence.
- I authorize the UMB Scout Funds and its agents to act upon instructions (by phone, in writing or other means) believed to be genuine and in accordance with procedures described in the prospectus for this account. I agree that neither the UMB Scout Funds nor the transfer agent will be liable for any loss, cost or expense for acting on such instructions.
- I certify that I am not a Foreign Financial Institution as defined in the USA PATRIOT Act.

### By completing Section 9 and signing below:

I authorize credits/debits to/from the bank account referenced in conjunction with the account options selected. I agree that UMB Scout Funds shall be fully protected in honoring any such transaction. I also agree that UMB Scout Funds may make additional attempts to credit/debit my account if the initial attempt fails and I will be liable for any associated costs. All account options selected (if any) shall become part of this Application and the terms, representations and conditions thereof.

### Under penalty of perjury, I certify that:

1. **The Social Security Number or Taxpayer Identification Number shown on this Application is correct.**
2. **I am not subject to backup withholding because: (a) I am exempt from backup withholding; or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends; or (c) the IRS has notified me that I am no longer subject to backup withholding.**
3. **I am a U.S. person (including a U.S. resident alien).**

**Cross out item 2 if you have been notified by the IRS that you are currently subject to backup withholding.**

**The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.**

**(All owners/trustees must sign. For UGMA/UTMAs, custodian must sign.)**

**X**

Signature of Individual Owner, Trustee or Custodian

Date

**X**

Signature of Joint Owner, Trustee or Custodian (if applicable)

Date

**X**

Additional Owner's Signature (if applicable)

Date

**Shares of the UMB Scout Funds are not deposits or obligations of, nor guaranteed by, UMB Bank, n.a. or any other banking institution, nor are they insured by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. These shares involve investment risks, including the possible loss of the principal invested.**

**UMB | SCOUT FUNDS**

TOLL FREE 800-996-2862

umbscoutfunds.com

"UMB", "Scout" and the Scout design – Reg. U.S. Pat & Tr. Off.

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